

10/553799

JC09 Rec'd PCT/PTO 18 OCT 2005

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of :
Haruhiko HABUTA et al. : **Mail Stop: PCT**
Serial No. NEW : **Attorney Docket No. 2005_1565A**
Filed October 18, 2005 :
OPTICAL INFORMATION RECORDING : **THE COMMISSIONER IS AUTHORIZED**
MEDIUM AND METHOD FOR : **TO CHARGE ANY DEFICIENCY IN THE**
MANUFACTURING THE SAME : **FEES FOR THIS PAPER TO DEPOSIT**
[Corresponding to PCT/JP2004/002585 : **ACCOUNT NO. 23-0975**
Filed March 2, 2004]

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Pursuant to the provisions of 37 CFR 1.56, 1.97 and 1.98, Applicants request consideration of the references listed on attached form PTO-1449 and any additional information identified below in paragraph 3. A legible copy of each reference listed on the Form PTO-1449 is enclosed, except a copy is not provided for:

- ☐ each U.S. Patent and U.S. Patent application publication;
- ☐ each reference previously cited in the international application PCT/_____; and/or
- ☐ each reference previously cited in prior parent application Serial No. _____.

1a. [X] This Information Disclosure Statement is submitted:

within three months of the filing date (or of entry into the National Stage) of the above-entitled application, or

ATTACHMENT D

ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /E.M./

before the mailing of a first Office Action on the merits or the mailing of a first Office Action after the filing of an RCE,

and thus no certification and/or fee is required.

- 1b. ☐ This Information Disclosure Statement is submitted

after the events of above paragraph 1a and prior to the mailing date of a final Office Action or a Notice of Allowance or an action which otherwise closes prosecution in the application, and thus:

- (1) ☐ the certification of paragraph 2 below is provided, or
(2) ☐ the fee of \$180.00 specified in 37 CFR 1.17(p) is enclosed.

- 1c. ☐ This Information Disclosure Statement is submitted:

after the mailing date of a final Office Action or Notice of Allowance or action which otherwise closes prosecution in the application, and prior to payment of the issue fee, and thus:

**the certification of paragraph 2 below is provided, and
the fee of \$180.00 specified in 37 CFR 1.17(p) is enclosed.**

2. It is hereby certified

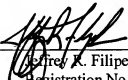
- a. ☐ that each item of information contained in this Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the Statement, or
b. ☐ that no item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application and, to the knowledge of the person signing the certification after making reasonable inquiry, was known to any individual designated in §1.56(c) more than three months prior to the filing of the Statement.

3. ☐ Consideration of the following list of additional information (including any copending or abandoned U.S. application, prior uses and/or sales, etc.) is requested.
4. For each non-English language reference listed on the attached form PTO-1449, reference is made to:
- a. ☐ a full or partial English language translation submitted herewith,
 - b. ☒ an International Search Report (in the English language) submitted herewith,
 - c. ☒ the concise explanation contained in the specification of the present application at page 2,
 - d. ☒ the concise explanation set forth in the attached English language abstract,
 - e. ☐ the concise explanation set forth below or on a separate sheet attached to the reference:
5. ☒ An International Search Report citing one or more of the references is enclosed.

Respectfully submitted,

Haruhiko HABUTA et al.

By


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October 18, 2005

FORM PTO 1449 (modified)

ATTY DOCKET NO.
2005_1565ASERIAL NO.
NEW

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U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICELIST OF REFERENCES CITED BY APPLICANT(S)
(Use several sheets if necessary)APPLICANT
Haruhiko HABUTA et al.

Date Submitted to PTO: October 18, 2005

FILING DATE
October 18, 2005

GROUP

U.S. PATENT DOCUMENTS

*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AA						
	AB						
	AC						
	AD						

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO
	AE	2002-237098	8-23-02	Japan			abstract
	AF	2002-230840	8-16-02	Japan			abstract
	AG	2003-59130	2-28-03	Japan			abstract
	AH	2003-59105	2-28-03	Japan			abstract
	AI	11-144320	5-28-99	Japan			abstract
	AJ	5-2769	1-8-93	Japan			abstract
	AK	4-356742	12-10-92	Japan			abstract
	AL	61-131250	6-18-86	Japan			abstract
	AM	3-1338	1-8-91	Japan			abstract
	AN						

OTHER DOCUMENT(S) (including Author, Title, Date, Pertinent Pages, Etc.)

	AO	
	AP	
	AQ	

EXAMINER

/Elizabeth Mulvaney/

DATE CONSIDERED

06/13/2008